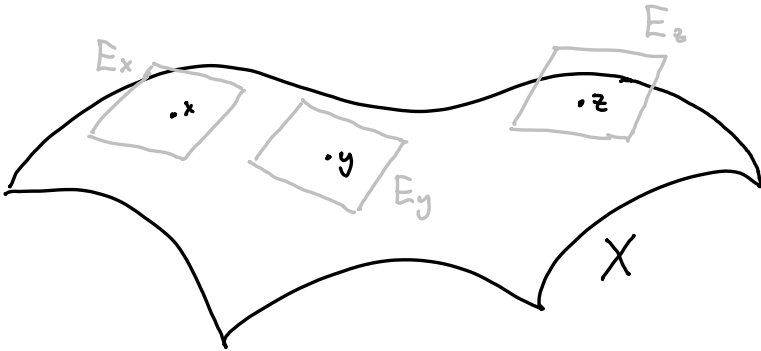


# Vector bundles and characteristic classes.



A vector bundle over a manifold consists of a continuously varying family of vector spaces at each point.

Def. A vector bundle is a manifold

$\pi: E \rightarrow X$  such that

- (1) The fibres  $E_x := \pi^{-1}(x)$  have the structure of a vector space.
- (2) For each  $x \in X$ , there exists an open neighborhood  $U$  of  $x$  together with

a diffeomorphism

$$\underline{\Phi}: E|_U := \pi^{-1}(U) \longrightarrow U \times \mathbb{K}^m$$

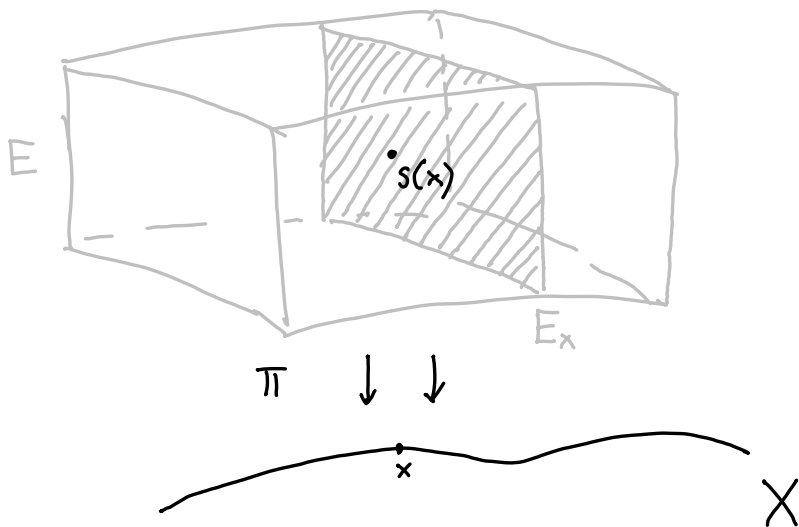
that is an isomorphism of vector spaces when restricted to each fiber.

Here  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$ , and  $m$  is the dimension or the rank of  $E$  (it is necessarily locally constant, i.e. constant on each component, and sometimes required to be constant globally).

Def. A section of a vector bundle  $E$  is a smooth map  $u: X \rightarrow E$  such that  $\pi \circ u = \text{id}_X$ .

In other words,  $u$  assigns to each point a vector over this point.

Other way of drawing things:



Def. A vector bundle is trivial if it has a global trivialisation.

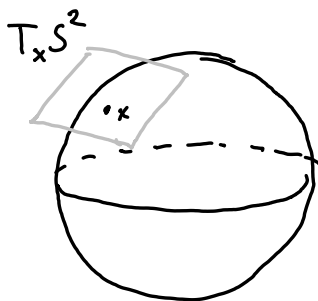
In this case, we can find  $m = \text{rk}(E)$  linearly independent, non-vanishing sections. This can be used to test triviality.

Ex. The tangent bundle of a manifold consists of the collection of its tangent vectors.

$$TX = \{ (x, v) \mid x \in X, v \in T_x X \}.$$

Derived from this are several tensor bundles, such as  $\text{End}(TM)$ ,  $\Lambda^k TM, \dots$

Ex. (a) The tangent bundle of  $S^2$ .



This is not globally trivial, as by the Poincaré-Hopf theorem, each (non-degenerate) vector field has at least  $\chi(S^2) = 2$  zeroes. We can argue similarly for all even-dimensional spheres. For odd-

dimensional spheres,  $\chi(S^{2k+1}) = 0$ , so this argument does not work. Indeed, the spheres  $S^1, S^3, S^7$  have trivial tangent bundle (but only those).

Let  $\Gamma(E)$  denote the space of sections of a vector bundle.

Def. A connection is a bilinear map

$$\nabla: \Gamma(TX) \times \Gamma(E) \longrightarrow \Gamma(E)$$

such that for  $V \in \Gamma(TX)$ ,  $\varphi \in \Gamma(E)$ ,  $f \in C^\infty(X)$

$$(1) \nabla_{fV} \varphi = f \nabla_V \varphi$$

$$(2) \nabla_V f\varphi = \mathcal{L}_V f \cdot \varphi + f \nabla_V \varphi.$$

If  $E$  carries a fibrewise scalar product (or a pos. def. Hermitean form in the complex case), we require additionally

$$\mathcal{L}_V h(\varphi, \psi) = h(\nabla_V \varphi, \psi) + h(\varphi, \nabla_V \psi).$$

If  $X$  is a Riemannian manifold, it is a fact that there exists a unique connection  $\nabla$  on the tangent bundle such that (3) holds, and we additionally have

$$(4) \quad \nabla_V W - \nabla_W V = [V, W] \quad (\text{Lie bracket})$$

This is called the Levi-Civita connection.

For functions

$$\varphi: X \longrightarrow \mathbb{K}^m,$$

we have Schwarz's theorem

$$\frac{\partial}{\partial x_i} \left( \frac{\partial}{\partial x_j} \varphi \right) = \frac{\partial}{\partial x_j} \left( \frac{\partial}{\partial x_i} \varphi \right)$$

with respect to local coordinates.

For functions with values in vector bundles, i.e. sections, this is not true. The failure for this to hold is the curvature.

Def. The curvature of a connection  $\nabla$  is the trilinear form

$$F = F^\nabla: \Gamma(TX) \times \Gamma(TX) \times \Gamma(E) \rightarrow \Gamma(E)$$

given by

$$F(V, W)\varphi = \nabla_V \nabla_W \varphi - \nabla_W \nabla_V \varphi - \nabla_{[V, W]}\varphi.$$

Lemma. The curvature is actually a tensor, i.e.

$$\begin{aligned} F(fV, W)\varphi &= F(V, fW)\varphi = F(V, W)f\varphi \\ &= fF(V, W)\varphi. \end{aligned}$$

Moreover,

$$F(V, W)\varphi = -F(W, V)\varphi,$$

hence  $F \in \Gamma(\Lambda^2 TX \otimes \text{End}(E))$ .

In particular, we have the Riemann curvature tensor  $R$ , which is the curvature tensor of the Levi-Civita connection.

In fact,  $R$  has the additional symmetries

$$\begin{aligned}\langle R(V, W)Y, Z \rangle &= -\langle R(V, W)Z, Y \rangle \\ &= \langle R(Y, Z)V, W \rangle\end{aligned}$$

and the Bianchi identity

$$R(V, W)Y + R(Y, V)W + R(W, Y)V = 0.$$

Ex. The curvature tensor on  $S^n$  is

$$R(V, W)Y = \langle V, Y \rangle W - \langle W, Y \rangle V$$

## Characteristic classes

Of course, if a vector bundle is trivial, it possesses a flat connection, i.e. one where  $F \equiv 0$ . Conversely curvature can be used to measure non-triviality of a vector bundle.



As seen before, the curvature tensor of a connection can be seen as an element of the space

$\Omega^2(X, \text{End}(E)) = \Gamma(\Lambda^2 T^*X \otimes \text{End}(E))$   
of  $\text{End}(E)$ -valued 2-forms.

Given a local trivialization of  $E$ , we can see this also as an  $m \times m$  matrix of  $\mathbb{K}$ -valued 2-forms, denoted by

$$\Omega \in \Omega^2(X, \mathfrak{g}) \subseteq \text{Mat}_{m \times m}(\Omega^2(X)).$$

Def. For  $G \subseteq \text{GL}(m)$  a subgroup, with Lie algebra  $\mathfrak{g} \subseteq \text{Mat}_{m \times m}(\mathbb{K})$ , a polynomial

$$P: \mathfrak{g} \longrightarrow \mathbb{K}$$

is  $G$ -invariant, if

$$P(QAQ^{-1}) = P(A)$$

for all  $Q \in G$ .

Ex. For  $G = GL(m, \mathbb{K})$ , then we have  $P = \text{tr}, \det$ , and more generally,  $P = \text{any symmetric polynomial of the eigenvalues}$ .

If  $\mathbb{K} = \mathbb{R}$  and  $G = SO(m)$  with  $m$  even, we additionally have  $P = \text{pf}$ , the Pfaffian.

Fact: For  $G = GL(m)$  or  $O(m)$ , the algebra of homogeneous polynomials is generated by  $\text{tr}(A^k)$ ,  $k \in \mathbb{N}$ .

For such a polynomial, we can form

$$P(\Omega) \in \Omega^k(X, \mathbb{K}),$$

which is a  $2k$ -form if  $P$  is homogeneous of degree  $k$ . It is independent of the choice of trivialization, by invariance of  $P$ .

Lemma.  $\mathcal{P}(\Omega)$  is closed.

Proof. We may assume that

$$P(\Omega) = \text{tr}(\Omega^k).$$

$$\begin{aligned} dP(\Omega) &= \text{tr}(d\Omega^k) = \sum_{j=1}^k \text{tr}(\Omega^{j-1} d\Omega \Omega^{k-j}) \\ &= k \text{tr}(\Omega^{k-1} d\Omega). \end{aligned}$$

Now the Bianchi identity states that

$$d\Omega = \Omega \wedge \omega - \omega \wedge \Omega,$$

where with respect to the local trivialization used to define  $\Omega$ ,  $\omega \in \Omega^1(X, \mathfrak{g})$  is given by

$$\nabla_V \varphi = \partial_V \varphi + \omega(V) \varphi.$$

Now

$$\begin{aligned} \text{tr}(\Omega^{k-1} d\Omega) &= \text{tr}(\Omega^k \wedge \omega - \Omega^{k-1} \wedge \omega \wedge \Omega) \\ &= \text{tr}([\Omega^k, \omega]) \\ &= 0 \end{aligned}$$

□

Hence  $P(\Omega)$  defines a class in de-Rham cohomology.

For a map  $f: Y \rightarrow X$ , we can construct the pullback bundle  $f^*E$  over  $Y$ , and a connection  $\nabla$  on  $E$  induces a connection  $f^*\nabla$  on  $f^*E$ .

We can also pullback trivialisations, and in this sense, we have the formula

$$\Omega^{f^*\nabla} = f^*\Omega \in \Omega^2(Y, g).$$

This implies

$$P(\Omega^{f^*\nabla}) = f^*P(\Omega),$$

independently of any trivialisaton.

From this follows

Thm.  $P(\Omega)$  is in fact independent from the connection used to define it.

Proof. Let  $\nabla^0, \nabla^1$  be two connections.

Lift the vector bundle  $E$  to  $X \times \mathbb{R}$ ,  
and consider the connection

$$\nabla^s = (1-s)\nabla^0 + s\nabla^1$$

on this bundle. Then

$$P(\Omega^j) = \iota_j^* P(\Omega^i) \quad j=0,1$$

for  $\iota_j: X \rightarrow X \times \mathbb{R}$  being the inclusions  
at  $j$ . Since  $\iota_0, \iota_1$  are homotopic,  
the classes  $\iota_0^* P(\Omega^i), \iota_1^* P(\Omega^i)$  define  
the same class in de-Rham  
cohomology.  $\square$

Examples. (1)  $P(A) = \det \left( I + \frac{1}{2\pi i} A \right),$

$G = GL(m, \mathbb{C}),$  for complex vector bundles

These are called the Chern classes

$$c(E) = 1 + c_1(E) + c_2(E) + \dots$$

where

$$c_k(E) \in \Omega^{2k}(X).$$

(2) The Pontrjagin classes are derived from this. They are defined for a real bundle  $W$  by

$$p_k(W) = c_{2k}(W \otimes \mathbb{C}) \in \Omega^{4k}(X).$$

Note that in general, we have

$$c_k(\bar{E}) = (-1)^k c_k(E),$$

where  $\bar{E}$  is the complex conjugate bundle. Hence, because for a

real bundle  $W$ , we have

$$\overline{W \otimes \mathbb{C}} \cong W \otimes \mathbb{C},$$

hence all odd Chern classes vanish,

$$c_k(W \otimes \mathbb{C}) = -c_k(\overline{W \otimes \mathbb{C}}) = -c_k(W \otimes \mathbb{C}).$$

(3) For an oriented real vector bundle, the Euler class is

$$\text{Eul}(W) = \text{pf}(\Omega).$$

(4) The Chern character is defined

by

$$\text{ch}(E) = \text{tr} \exp\left(\frac{\Omega}{2\pi i}\right)$$

$$= \sum_{k=0}^{\infty} \frac{1}{k!} \text{tr} \left( \left(\frac{\Omega}{2\pi i}\right)^k \right)$$

Note that this is in fact only a finite sum.

(5) The  $\hat{A}$ -class is

$$\hat{A}(W) = \det^{1/2} \left( \frac{\frac{\Omega}{4\pi i}}{\sinh\left(\frac{\Omega}{4\pi i}\right)} \right).$$

This is meant as follows. The even function

$$f(x) = \frac{x/2}{\sinh(x/2)}$$

satisfies  $f(0) = 1$ , hence it has a unique even analytic square root

$$g(x) = 1 + \sum_{k=1}^{\infty} g_k x^{2k}$$

near zero. We then set

$$\hat{A}(W) = \det \left( I + \sum_{k=1}^{\infty} g_k \left( \frac{\Omega}{2\pi i} \right)^{2k} \right).$$

$$\in \Omega^{4*}(X).$$



## Examples.

(1) On spheres, all Pontrjagin classes vanish, as they are stable,

$$p_k(W) = p_k(W \oplus \underline{\mathbb{R}}^m),$$

where  $\underline{\mathbb{R}}^m$  denotes the trivial bundle.

As spheres are embedded hypersurfaces, one has

$$TS^n \oplus \underline{\mathbb{R}} \cong \underline{\mathbb{R}}^{n+1}.$$

(2) For the Euler class, one has

$$\text{Eu}(S^{2k+1}) = 0 \quad \int_{S^{2k}} \text{Eu}(S^{2k}) = 2.$$

Note that here, we need to choose an orientation in order to have these forms defined.

(3) After choosing an orientation and on  $S^2$ , there is a unique complex structure  $J$  defined by sending  $Jv = w$ ,  $Jw = -v$ , if  $(v, w)$  is a positively oriented orthonormal basis. Considering  $TS^2$  as a complex bundle this way, we have

$$\int_{S^2} c_1(TS^2_J) = 2 \quad (\text{I think})$$

In general, a complex vector bundle  $E$  of complex dimension  $k$  can be seen as a real oriented bundle via the complex structure, and in this sense, we have

$$c_k(E) = \text{Eul}(E).$$

Chern and Pontrjagin classes are multiplicative in the sense that

$$c(E \oplus F) = c(E) \wedge c(F)$$

$$p(E \oplus F) = p(E) \wedge p(F).$$

Thm.  $S^{4k}$  cannot carry a complex structure.

Proof. Assume the converse. Then

$$TM_J \oplus \overline{TM}_J \cong TM \otimes \mathbb{C}$$

via

$$(v, w) \mapsto \frac{1}{\sqrt{2}}(v \otimes 1 - Jv \otimes i) + \frac{1}{\sqrt{2}}(w \otimes 1 + Jw \otimes i).$$

Hence

$$0 = c(TM \otimes \mathbb{C}) = c(TM_J)c(\overline{TM}_J).$$

$$= (1 + c_{2k}(TM_J)) (1 + c_{2k}(TM_J))$$

$$= 1 + 2c_{2k}(TM_J)$$

$$= 1 + 2 \text{Eul}(TM).$$

Hence

$$0 = 2 \int_{S^{4k}} \text{Eul}(TM) = 4,$$

a contradiction.

□