

# DIRAC OPERATORS ON 4-MANIFOLDS

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## 1. THE DIRAC OPERATOR ON $\mathbb{R}^4$ AND CLIFFORD ALGEBRA

Dirac operators are important geometric operators on a manifold. The Dirac operator  $D_A$  on the four dimensional Euclidean space  $M = \mathbb{R}^4$  is the order one differential operator whose square  $D_A \circ D_A$  is the Euclidean Laplacian  $-\sum_{i=1}^4 \frac{\partial^2 \psi}{\partial x_i^2}$ . However, this is not possible unless we allow coefficients for this linear operator to be matrix-valued. Let  $M = \mathbb{R}^4$  be the four dimensional Euclidean space with global Euclidean coordinate  $(x_1, x_2, x_3, x_4)$  and  $W \otimes L = M \times \mathbb{C}^4$  be the trivial 4-dimensional complex vector bundle over  $M$ , then the Dirac operator  $D_A : C^\infty(\mathbb{R}^4, \mathbb{C}^4) \rightarrow C^\infty(\mathbb{R}^4, \mathbb{C}^4)$  is given by

$$(1.1) \quad D_A \psi = \sum_{i=1}^4 e_i \cdot \frac{\partial \psi}{\partial x_i}$$

where  $e_i \in \text{End}(\mathbb{C}^4)$  is a  $4 \times 4$  complex matrix satisfying  $e_i \cdot e_j + e_j \cdot e_i = -2\delta_{ij}$ . Explicitly, we take

$$e_1 = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad e_2 = \begin{pmatrix} 0 & 0 & i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix},$$

and

$$e_3 = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad e_4 = \begin{pmatrix} 0 & 0 & 0 & i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}.$$

Let  $V$  be the dimension 4 Euclidean space of complex linear homomorphism from  $W_+$  to  $W_-$  with orthonormal basis

$$\mathbf{e}_1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \mathbf{e}_2 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \mathbf{e}_3 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \mathbf{e}_4 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}.$$

Denote by  $W_+, W_-$  two copies of  $\mathbb{C}^2$  with the standard hermitian metric. Let  $W = W_+ \oplus W_-$ . Regard elements of  $V$  as complex linear homomorphisms from  $W_+$  to  $W_-$  by sending  $\mathbf{e}_1$  to  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  etc. Construct the complex linear map

$$(1.2) \quad \theta : V \otimes \mathbb{C} \rightarrow \text{End}(W) \quad \theta(Q) = \begin{pmatrix} 0 & -\bar{Q}^T \\ Q & 0 \end{pmatrix} \quad \theta(\mathbf{e}_i) = e_i.$$

**Definition 1.1.** *The (complex) Clifford algebra of the dimension 4 Euclidean space  $V$  is  $\text{Cl}(V) = \text{End}(W)$  the 16-dimensional algebra over  $\mathbb{C}$  generated by  $e_1, e_2, e_3, e_4$  subject to the relations  $e_i \cdot e_j + e_j \cdot e_i = -2\delta_{ij}$ .*

*Remark 1.2.* As a vector space,  $\text{Cl}(V) = \text{End}(W) = \Lambda^0 V \otimes \mathbb{C} \oplus \cdots \oplus \Lambda^4 V \otimes \mathbb{C}$ . The Clifford multiplication of  $e_i$  on forms is given by

$$(1.3) \quad e_i \cdot w = e_i \wedge w - \iota(e_i)w \quad \iota(e_i) : \Lambda^k V \rightarrow \Lambda^{k-1} V \quad (\iota(e_i)w, \theta) = (w, e_i \wedge \theta).$$

## 2. $Spin^c$ GROUP AND $spin^c$ -STRUCTURE

Let  $G$  be a Lie group and  $r : G \rightarrow GL(\mathbb{F}^n)$  be a representation on a vector space  $\mathbb{F}^n$ .

**Definition 2.1.** A vector bundle  $E$  over a manifold  $M$  has a  $G$ -structure if there is an open covering  $\mathcal{U} = \{U_\alpha : \alpha \in I\}$  of  $M$  and for each  $\alpha, \beta \in I$ , there is a transition function  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow G$  satisfying the cocycle condition

$$(2.1) \quad g_{\alpha\beta} \cdot g_{\beta\gamma} = g_{\alpha\gamma}, \quad \text{on} \quad U_\alpha \cap U_\beta \cap U_\gamma,$$

so that  $E$  is isomorphic to  $\sqcup_{\alpha \in I} U_\alpha \times \mathbb{F}^n / \sim$  where  $(u_\alpha, v_\alpha) \sim (u_\beta, v_\beta)$  if  $u_\alpha = u_\beta \in U_\alpha \cap U_\beta$  and  $v_\alpha = g_{\alpha\beta} v_\beta$ . A manifold  $M$  has a  $G$ -structure if the tangent bundle  $TM$  has a  $G$ -structure.

*Example 2.2.* Any real vector bundle of dimension  $m$  has a  $GL(\mathbb{R}^m)$ -structure.

*Example 2.3.* A complex line bundle corresponds a  $U(1)$ -structure:  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow U(1)$  with the cocycle condition.

*Example 2.4.* If  $M$  is an oriented  $n$ -dimensional Riemannian manifold, then the tangent bundle  $TM$  of  $M$  carries a  $SO(n)$ -structure, i.e., there exists an open cover  $\{U_\alpha : \alpha \in I\}$  of  $M$  and a transition function  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow SO(n)$  for each  $\alpha, \beta \in I$  satisfying the cocycle condition so that  $TM$  is isomorphic to the gluing of the trivial  $\mathbb{R}^n$  bundles over  $U_\alpha$  by the gluing function  $g_{\alpha\beta}$ .

We shall now introduce the spin group  $Spin(4)$  and the  $spin^c$ -group  $Spin(4)^c$  which will be used to define  $spin^c$  structures on a four dimensional manifold  $M$ .

Let  $V = \left\{ \begin{pmatrix} z & -\bar{w} \\ w & z \end{pmatrix} : z, w \in \mathbb{C} \right\}$  be the Euclidean 4-space with the natural inner product  $\langle X, Y \rangle = \bar{X}^T Y$ . The special unitary group  $SU(2)$  is defined as

$$(2.2) \quad SU(2) = \left\{ Q = \begin{pmatrix} z & -\bar{w} \\ w & \bar{z} \end{pmatrix} \in V : \det^{\frac{1}{2}}[\bar{Q}^T Q] = |z|^2 + |w|^2 = 1 \right\}.$$

**Definition 2.5.** The  $Spin(4)$  group is the dimension 6 Lie group  $SU(2) \times SU(2)$

$$(2.3) \quad Spin(4) = \left\{ \begin{pmatrix} A_+ & 0 \\ 0 & A_- \end{pmatrix} : A_\pm \in SU(2) \right\}.$$

The  $Spin(4)^c$  group is the dimension 7 Lie group

$$(2.4) \quad Spin(4)^c = \left\{ \begin{pmatrix} \lambda A_+ & 0 \\ 0 & \lambda A_- \end{pmatrix} : A_\pm \in SU(2), \lambda \in U(1) \right\}.$$

$Spin(4)$  naturally represents on  $V$  via  $(A_+, A_-) \mapsto (Q \mapsto A_- Q A_+)$  and it is straight forward to check that the representation preserves the inner product on  $V$ , i.e.,

$$(2.5) \quad \langle A_- Q A_+, A_- Q A_+ \rangle = \langle Q, Q \rangle.$$

Hence the representation gives rise to a surjective homomorphism

$$(2.6) \quad \rho : Spin(4) \rightarrow SO(V) \cong SO(4).$$

The kernel of the homomorphism is  $\{(I, I), (-I, -I)\}$ . Then we have the short exactly sequence

$$(2.7) \quad 0 \rightarrow \mathbb{Z}_2 \rightarrow Spin(4) \rightarrow SO(4) \rightarrow 0.$$

The group  $Spin(4)$  is a double cover of  $SO(4)$ . Topologically,  $Spin(4)$  is the simply connected manifold  $S^3 \times S^3$ .

Similarly, the group  $Spin(4)^c$  has the representation

$$(2.8) \quad \rho^c : Spin(4)^c \rightarrow SO(V) \cong SO(4) \quad \rho^c \left( \begin{pmatrix} \lambda A_+ & 0 \\ 0 & \lambda A_- \end{pmatrix} \right) (Q) = (\lambda A_-) Q (\lambda A_+)^{-1}$$

In addition, there is a group homomorphism

$$(2.9) \quad \pi : Spin(4)^c \rightarrow U(1) \quad \pi \left( \begin{pmatrix} \lambda A_+ & 0 \\ 0 & \lambda A_- \end{pmatrix} \right) = \det(\lambda A_+) \det(\lambda A_-) = \lambda^2.$$

Then, we obtain the following short exact sequence

$$(2.10) \quad 0 \rightarrow \mathbb{Z}_2 \rightarrow Spin(4)^c \rightarrow SO(4) \times U(1) \rightarrow 0.$$

The group  $Spin(4)^c$  admits two irreducible unitary representations  $\rho_+, \rho_-$  on the 2-dimensional complex vector space  $W_+, W_-$  by

$$(2.11) \quad \rho \begin{pmatrix} \lambda A_+ & 0 \\ 0 & \lambda A_- \end{pmatrix} (w_\pm) = \lambda A_\pm w_\pm \quad w_\pm \in W_\pm.$$

As unitary-length element of  $V$  are  $2 \times 2$  unitary matrices, they can be regarded isometries from  $W_+$  to  $W_-$ , we have an isomorphism of representation spaces

$$(2.12) \quad V \otimes \mathbb{C} \cong \text{Hom}(W_+, W_-).$$

**Definition 2.6.** Let  $M$  be a 4-dimensional Riemannian manifold and  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow SO(4)$  is the associated  $SO(4)$ -structure of the tangent bundle  $TM$ . A  $Spin^{(c)}$  structure on  $M$  is given by an open covering  $\{U_\alpha : \alpha \in I\}$  of  $M$  and a collection of transition functions  $\tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow Spin(4)^{(c)}$  such that  $\rho^{(c)} \circ \tilde{g}_{\alpha\beta} = g_{\alpha\beta}$  and the cocycle condition is satisfied.

*Example 2.7.* If  $M$  has a spin structure defined by the transition function

$$(2.13) \quad \tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \mapsto Spin(4)$$

and  $L$  is a complex line bundle over  $M$  with hermitian metric and transition functions

$$(2.14) \quad h_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow U(1)$$

Then a  $spin^c$ -structure on  $M$  can be obtained by taking the transition function

$$(2.15) \quad \tilde{g}_{\alpha\beta} h_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow Spin(4)^c.$$

**Definition 2.8.** Let  $M$  be a 4-dimensional  $spin^c$ -manifold where the transition functions are given by  $\tilde{g}_{\alpha\beta}$  for every  $\alpha, \beta \in I$ . Then the 2-dimensional complex vector bundles given by the transition functions  $\rho \circ \tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow SU(2)$  are called the spin bundles, denoted  $W_\pm \otimes L$ . The complex line bundle given by the transition function  $\pi \circ \tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow U(1)$  is called the determinant line bundle, denoted  $L^2$ .  $W_\pm$  and  $L$  are called virtual vector bundles.

Let  $V$  be the 4-dimensional vector space as above, then the tangent bundle  $TM$  for a  $spin^c$  four manifold  $M$  is isomorphic to  $\sqcup_\alpha U_\alpha \times V / \sim$  where  $(u_\alpha, v_\alpha) \sim (u_\beta, v_\beta)$  if  $u_\alpha = u_\beta$  and  $v_\alpha = \tilde{g}_{\alpha\beta} v_\beta$ . Therefore, in view of (2.12), we have

$$(2.16) \quad TM \otimes \mathbb{C} \cong \text{Hom}(W_+ \otimes L, W_- \otimes L).$$

### 3. CHARACTERISTIC CLASSES AND $Spin^c$ -STRUCTURE

In this section<sup>1</sup>, we introduce orientation, spin structure and  $spin^c$  structure of a 4-manifold from the point of view of algebraic topology. We shall first review Čech cohomology  $H^*(M, \underline{G})$  of a manifold  $M$  with coefficient in a sheaf  $\underline{G}$  of an abelian group  $G$  on  $M$ .

Let  $\mathcal{U} = \{U_\alpha : \alpha \in I\}$  be an open cover of  $M$ . Associate a simplicial complex to the cover  $\mathcal{U}$ , i.e.,  $[\alpha_0 \alpha_1 \cdots \alpha_n]$  spans an  $n$ -simplex in  $C_n(\mathcal{U})$  if and only if  $U_{\alpha_0} \cap \cdots \cap U_{\alpha_n} \neq \emptyset$ . Let  $G$  be an abelian group and  $\underline{G}$  denotes the sheaf of  $G$ . Define the  $n$ -cochains to be the set  $C^n(\mathcal{U})$  of continuous sections on  $C_n(\mathcal{U})$  with coefficients in  $\underline{G}$ :

$$(3.1) \quad f_{\alpha_0 \cdots \alpha_n} : U_{\alpha_0} \cap \cdots \cap U_{\alpha_n} \rightarrow \underline{G}$$

which satisfy  $f_{\dots\alpha\beta\dots} = f_{\dots\beta\dots\alpha\dots}$ . The  $n$ -th coboundary map  $\delta_n : C^n(\mathcal{U}) \rightarrow C^{n+1}(\mathcal{U})$  is given by

$$(3.2) \quad [\delta_n f]_{\alpha_0 \cdots \alpha_{n+1}} = \Pi f_{\alpha_0 \cdots \hat{\alpha}_i \cdots \alpha_{n+1}}^{(-1)^i}.$$

The  $n$ -th Čech cohomology of the cover  $\mathcal{U}$  is defined by

$$(3.3) \quad H^n(\mathcal{U}, \underline{G}) = [ker \delta_n : C^n(\mathcal{U}) \rightarrow C^{n+1}(\mathcal{U})] / [im \delta_{n-1} : C^{n-1}(\mathcal{U}) \rightarrow C^n(\mathcal{U})].$$

<sup>1</sup>I would like to thank my colleagues Nick Buchdahl and David Roberts for clarifying a few issues of the lecture notes.

The Čech cohomology of  $M$  with coefficient in  $\underline{G}$  is defined by the direct limit of the group  $H^n(\mathcal{U}, \underline{G})$  over finer and finer coverings. If we assume in addition that any finite intersection of elements of the open cover  $\mathcal{U}$ , the  $p$ -th cohomology of this intersection with values in  $\underline{G}$  is 0 of all  $p > 0$ . Then the Čech cohomology of  $M$  and the Čech cohomology of the cover  $\mathcal{U}$  coincide:

$$(3.4) \quad H^n(X, \underline{G}) \cong H^n(\mathcal{U}, \underline{G})$$

An open cover  $\mathcal{U}$  satisfying this condition is called a *good cover*. In the situations to be used in this talk, this condition can be replaced by  $U_{\alpha_0} \cap U_{\alpha_2} \cap \cdots \cap U_{\alpha_n}$  is either empty or contractible. The simplicial complex corresponding to a good cover reflects the topological feature of  $M$ . For example, when  $M = S^1$ , one can find a good cover whose corresponding simplicial complex is a triangle.

*Remark 3.1.* Let  $\mathcal{U}$  be a good cover of  $M$  and let  $G$  be a discrete abelian group. The singular cohomology  $H_{sing}^n(M, G)$  is isomorphic to the Čech cohomology  $H^n(M, \underline{G})$ .

To simplify the notation, in the following we shall now not distinguish the notation for the sheet  $\underline{G}$  and the group  $G$ .

*Example 3.2* (Principal  $G$ -bundle/Vector bundle with  $G$ -structure).  $f$  is a 1-cocycle if  $f \in C^1(\mathcal{U})$  and  $\delta_1 f = e$ , i.e.,

$$(3.5) \quad f_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow G \quad (\delta_1 f)_{\alpha\beta\gamma} = f_{\beta\gamma} f_{\alpha\gamma}^{-1} f_{\alpha\beta} = e.$$

If a vector bundle  $E$  over  $M$  has a  $G$ -structure, then  $E$  gives rise to a class

$$(3.6) \quad [E] \in H^1(X, G).$$

Note that if  $G$  is not abelian,  $H^1(M, G)$  still makes sense, but it is just a set of equivalence classes of principal  $G$ -bundles over  $M$  (vector bundles with  $G$ -structure).

*Example 3.3* (First Chern class of a complex line bundle). Let  $E$  be a complex line bundle over a manifold  $M$ . Then  $E$  has a  $U(1)$ -structure, in other words,  $[E] \in H^1(M, U(1))$ . Then we have a 1-cocycle  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow U(1)$  with cocycle condition  $g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} = 1$ . Then there exists  $h_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \mathbb{R}$  such that  $g_{\alpha\beta} = e^{i2\pi h_{\alpha\beta}}$ . Note that  $h_{\alpha\beta} + h_{\beta\gamma} + h_{\gamma\alpha} \in \mathbb{Z}$  and may not be 0. So  $h$  is not a 1-cocycle. However,  $h_{\alpha\beta\gamma} = h_{\alpha\beta} + h_{\beta\gamma} + h_{\gamma\alpha} : U_\alpha \cap U_\beta \cap U_\gamma \rightarrow \mathbb{Z}$  is a 2-cocycle (check by exercise  $(\delta_2 h)_{\alpha\beta\gamma\delta} = h_{\beta\gamma\delta} - h_{\alpha\gamma\delta} + h_{\alpha\beta\delta} - h_{\alpha\beta\gamma}$  is always equal to 0). The 2-cocycle  $h_{\alpha\beta\gamma}$  gives rise to a class in  $H^2(X, \mathbb{Z})$ . This is referred to the first Chern class of  $E$  denoted by  $c_1(E)$ . We remark that the first Chern class map on complex line bundles over  $M$  gives rise to an isomorphism

$$(3.7) \quad H^1(M, U(1)) \cong H^2(M, \mathbb{Z}).$$

*Example 3.4* (Second Stiefel-Whitney class). Let  $E$  be a vector bundle over  $M$  which carries a  $SO(4)$ -structure, then there exists 1-cocycle  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow SO(4)$ . As  $Spin(4)$  is a 2-fold cover of  $SO(4)$  and  $U_\alpha \cap U_\beta$  is contractible, we can locally lift  $g_{\alpha\beta}$  to  $\tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow Spin(4)$ . However, this is not a 1-cocycle as the cocycle condition  $\tilde{g}_{\alpha\beta} \tilde{g}_{\beta\gamma} = \tilde{g}_{\alpha\gamma}$  may not be satisfied. However, we can construct a 2-cocycle by

$$(3.8) \quad \tilde{g}_{\alpha\beta\gamma} = \tilde{g}_{\alpha\beta} \tilde{g}_{\beta\gamma} \tilde{g}_{\gamma\alpha} : U_\alpha \cap U_\beta \cap U_\gamma \rightarrow \mathbb{Z}_2 \subset Spin(4).$$

Check as an exercise that the cocycle condition

$$(3.9) \quad (\delta_2 \tilde{g})_{\alpha\beta\gamma\delta} = \tilde{g}_{\beta\gamma\delta} \tilde{g}_{\alpha\gamma\delta}^{-1} \tilde{g}_{\alpha\beta\delta} \tilde{g}_{\alpha\beta\gamma}^{-1} = e$$

is satisfied. The class of this 2-cocycle  $\tilde{g}_{\alpha\beta\gamma}$  in  $H^2(M, \mathbb{Z}_2)$  is called the second Stiefel-Whitney class of the vector bundle  $E$ , denoted  $w_2(E)$ .

**Lemma 3.5.** Let  $\{e\} \rightarrow K \rightarrow G \rightarrow G' \rightarrow \{e\}$  be a short exact sequence of groups where  $K$  is abelian. Then the following natural map is exact

$$(3.10) \quad H^1(M, G) \rightarrow H^1(M, G') \rightarrow H^2(M, K).$$

**Theorem 3.6.** If  $M$  is an oriented Riemannian 4-manifold, then  $M$  has a spin structure if and only if the second Stiefel-Whitney class  $w_2(TX)$  vanishes.

*Proof.* As  $0 \rightarrow \mathbb{Z}_2 \rightarrow Spin(4) \rightarrow SO(4) \rightarrow 0$ , then by Lemma 3.5 we have

$$(3.11) \quad H^1(M, Spin(4)) \rightarrow H^1(M, SO(4)) \rightarrow H^2(M, \mathbb{Z}_2)$$

exact. One observe that the vanishing of  $w_2(TM)$  means that  $[TM] \in H^1(M, SO(4))$  is an image of some element of  $H^1(M, Spin(4))$ .  $\square$

**Theorem 3.7.** *If  $M$  is an oriented Riemannian 4 manifold, then  $M$  has a  $spin^c$  structure if and only if there exists a complex line bundle  $E$  over  $M$ , so that the  $\mathbb{Z}_2$  reduction of its first Chern class is equal to the second Stiefel-Whitney class of  $TM$ .*

*Proof.* Denote by  $r_* : H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2)$  the  $\mathbb{Z}_2$ -reduction. Note that the reduction of the first Chern class is equal to the second second Stiefel-Whitney class because of the following commuting diagram:

$$\begin{array}{ccccc} H^1(M, U(1)) & \xrightarrow{\sigma} & H^1(M, U(1)) & \xrightarrow{w_2} & H^2(M, \mathbb{Z}_2) \\ c_1 \downarrow & & c_1 \downarrow & & = \downarrow \\ H^2(M, 2\mathbb{Z}) & \xrightarrow{\times 2} & H^2(M, \mathbb{Z}) & \xrightarrow{r_*} & H^2(M, \mathbb{Z}_2) \end{array}$$

as a result of the short exact sequences  $0 \rightarrow \mathbb{Z}_2 \rightarrow U(1) \rightarrow U(1) \rightarrow 0$  and  $0 \rightarrow 2\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}_2 \rightarrow 0$ . As  $0 \rightarrow \mathbb{Z}_2 \rightarrow Spin(4)^c \rightarrow SO(4) \times U(1) \rightarrow 0$ , we have the following exact sequence of homomorphisms:

$$(3.12) \quad H^1(M, Spin(4)) \rightarrow H^1(M, SO(4)) \oplus H^1(M, U(1)) \rightarrow H^2(M, \mathbb{Z}_2).$$

The manifold having a spin structure means that the existence of a spin structure for a principal  $Spin(4) \times U(1)$ -bundle, i.e.,  $w_2(TM) + w_2(E) = 0$ . The theorem is proved when we observe that the vanishing of  $w_2(TM) + r_*c_1(E)$  means that  $[TM] \in H^1(M, SO(4))$  is an image of some element of  $H^1(M, Spin(4)^c)$ .  $\square$

*Remark 3.8.*  $Spin^c$  structure is a topological property true for many manifolds. For example, an (almost) complex manifold  $M$  (dimension  $n$ ) has a canonical  $spin^c$  structure. In fact, the line bundle  $L = \Lambda^n TM$  over  $M$  has the same first Chern character as that of the tangent bundle  $TM$ . Moreover,  $c_1(TM)$  is a canonical lift of  $w_2(X)$  to  $H^2(M, \mathbb{Z})$  (to see this, one needs to generalise  $p_*(c_1(E)) = w_2(E)$  from a complex line bundle  $E$  to complex bundles of any dimension). Thus  $p_*(c_1(\Lambda^n TM)) = w_2(TM)$  and by Theorem 3.7, the (almost) complex manifold  $M$  has a  $spin^c$  structure.

**Theorem 3.9.** *Every oriented four manifold admits a  $spin^c$ -structure.*

*Proof.* We shall prove the case when the manifold is simply connected. A proof in the general case can be found in *Spin Geometry* Appendix D by Lawson and Michelsohn. There is also a short proof by Teichner and Vogt.

The first integral homology for a simply connected manifold  $M$  vanishes, i.e.,  $H_1(M, \mathbb{Z}) \cong 0$ . By the Poincaré duality  $H^3(M, \mathbb{Z}) \cong H_1(M, \mathbb{Z})$ , we have  $H^3(M, \mathbb{Z}) \cong 0$ . The short exact sequence  $0 \rightarrow 2\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}_2 \rightarrow 0$  gives rise to the following long exact sequence

$$(3.13) \quad \cdots \rightarrow H^2(M, \mathbb{Z}) \xrightarrow{p_*} H^2(M, \mathbb{Z}_2) \rightarrow H^3(M, \mathbb{Z}) \rightarrow \cdots$$

The vanishing of the third cohomology of  $M$  implies that the  $\mathbb{Z}_2$  reduction map  $p_*$  is surjective. Thus, given the second Stiefel-Whitney class  $w_2(TM)$  in  $H^2(M, \mathbb{Z}_2)$  we know the existence of a pre-image  $\eta \in H^2(M, \mathbb{Z})$ . In view of (3.7), this implies the existence of a complex line bundle  $L$  whose first Chern class is  $c_1(L) = \eta$ . Hence the  $\mathbb{Z}_2$ -reduction of  $c_1(L)$  coincides with  $w_2(TM)$ . Therefore, the manifold  $M$  carries a  $spin^c$  structure by Theorem 3.7.  $\square$

#### 4. DIRAC OPERATORS

**Definition 4.1.** A connection on a vector bundle  $E$  (with  $G$ -structure) defined by a covering  $\{U_\alpha : \alpha \in I\}$  and transition functions  $\{g_{\alpha\beta} : \alpha, \beta \in I\}$  is a collection of differential operators

$$(4.1) \quad \{d + \omega_\alpha : \alpha \in I\}$$

where  $d$  is the exterior derivative and  $\omega_\alpha$  takes values in the Lie algebra of  $G$ .

Let  $M$  be a 4-dimensional Riemannian manifold with a  $spin^c$  structure and a  $spin^c$  connection  $d_A = \nabla^A$  on the spin bundle  $W \otimes L$ .

**Definition 4.2.** The Dirac operator  $D_A : \Gamma(W \otimes L) \rightarrow \Gamma(W \otimes L)$  with coefficient in the line bundle  $L$  is defined by

$$(4.2) \quad D_A(\psi) = \sum_{i=1}^4 e_i \cdot d_A \psi(e_i) = \sum_{e_i} e_i \cdot \nabla_{e_i}^A \psi.$$

where  $e_i$  is an orthonormal basis of  $TM$ .

Note that the Dirac operator divides into two pieces:  $D_A^+ : \Gamma(W_+ \otimes L) \rightarrow \Gamma(W_- \otimes L)$  and  $D_A^- : \Gamma(W_- \otimes L) \rightarrow \Gamma(W_+ \otimes L)$ .

As in the Hodge theory, the theory of elliptic operators implies the kernels  $\ker D_A^+$  and  $\ker D_A^-$  are finite dimensional complex vector spaces. we define the index of  $D_A$  to be

$$(4.3) \quad \text{ind } D_A^+ = \dim(\ker D_A^+) - \dim(\ker D_A^-).$$

**Theorem 4.3** (Atiyah-Singer index theorem). *If  $D_A$  is a Dirac operator with coefficients in a line bundle  $L$  on a compact oriented four manifold, then*

$$(4.4) \quad \text{ind } D_A^+ = -\frac{1}{8}\tau(M) + \frac{1}{2} \int_M c_1(L)^2.$$

where  $\tau(M) = b_+ - b_-$  is the signature of  $M$ , i.e., signature of the map

$$(4.5) \quad H^2(M, \mathbb{R}) \times H^2(M, \mathbb{R}) \rightarrow \mathbb{R} \quad (x, y) \mapsto \int_M x \cup y.$$

*Remark 4.4.* If  $M$  is spin and  $W$  is a spin bundle, then for any complex line bundle  $L$ , the bundle  $W \otimes L$  has a  $spin^c$ -structure. In this case, the determinant line bundle  $L^2$  is indeed the tensor of  $L$  with  $L$ . However, if  $M$  is  $spin^c$  and not  $spin$ , the determinant line bundle  $L^2$  make sense even though  $L$  is not a genuine bundle (in this case,  $L$  is called a virtual vector bundle). In this case we can replace  $c_1(L)$  by  $\frac{1}{2}c_1(L^2)$  using the following lemma. The proofs of the lemma simply follows from  $g_{\alpha\beta} = e^{i2\pi h_{\alpha\beta}}$ , the relation between the transition functions of a line bundle and the transition functions of its first Chern class.

**Lemma 4.5.** *Let  $L_1, L_2$  be complex line bundle over a manifold  $M$ , then  $L_1 \otimes L_2$  is also a complex line bundle and  $c_1(L_1 \otimes L_2) = c_1(L_1) + c_2(L_2)$ .*