

## (I) Clifford algebras and Dirac operators.

Let  $V$  be a Euclidean vector space.

Def. The Clifford algebra  $\mathcal{C}\ell(V)$  is the algebra generated by the elements of  $V$ , subject to

$$v \cdot w + w \cdot v = -2\langle v, w \rangle \cdot 1.$$

If  $e_1, \dots, e_n$  is an ON-basis of  $V$ , we have

$$e_i \cdot e_j = -e_j \cdot e_i, \quad e_i^2 = -1.$$

$\Rightarrow e_{i_1} \cdots e_{i_k}, \quad i_1 < \cdots < i_k, \quad 0 \leq k \leq \dim(V)$   
is a vector space basis of  $\mathcal{C}\ell(V)$

$$\Rightarrow \dim(\mathcal{C}\ell(V)) = 2^n.$$

Have the symbol map

$$\sigma: \mathcal{C}\ell(V) \longrightarrow \wedge V, \quad e_{i_1} \cdots e_{i_k} \mapsto e_{i_1} \wedge \cdots \wedge e_{i_k}$$

and its inverse, the quantization map

$$q: \wedge V \rightarrow \mathcal{C}\ell(V)$$

$$v_1 \wedge \dots \wedge v_k \mapsto \frac{1}{k!} \sum_{\tau \in S_k} v_{\tau_1} \cdot \dots \cdot v_{\tau_k}.$$

If  $X$  is a Riemannian manifold, we obtain the algebra bundles

$$\mathcal{C}\ell(X)_x := \mathcal{C}\ell(T_x X)$$

$$\mathcal{C}\ell(X)_x := \mathcal{C}\ell(T_x X) \otimes \mathbb{C}.$$

Def. Let  $X$  be an even-dimensional, oriented, closed Riemannian manifold.

A (self-adjoint) Clifford module over  $X$  is a  $\mathbb{Z}_2$ -graded Hermitian vector bundle  $(E, h)$  over  $X$  with compatible connection  $\nabla^E$  together with a bundle map

$$c: TX \rightarrow \text{End}^-(E)$$

such that  $\forall v, w \in TX, e, f \in E$

$$(1) c(v)c(w) + c(w)c(v) = -2\langle v, w \rangle \text{id}_E$$

$$(2) h(c(v)e, f) = -h(e, c(v)f)$$

$$(3) \nabla_v^E(c(w)e) = c(\nabla_v^{TX} w)e + c(w)\nabla_v^E e.$$

(Here,  $v, w, e, f$  need to be sections.)

In particular, this gives each  $E_x$  the structure of a  $\mathcal{O}(T_x X)$ -module.

Examples.

$$1) E = \wedge TX \otimes \mathbb{C},$$

$$c(v)\omega = v^b \wedge \omega - v \lrcorner \omega.$$

Two possible gradings:

$$(a) \wedge TX = \wedge^{\text{ev}} TX \oplus \wedge^{\text{odd}} TX$$

$$(b) \wedge TX = \wedge^+ TX \oplus \wedge^- TX$$

(Eigenspaces of Hodge- $*$ )

2) If  $E$  is a Clifford module,  $(W, h_W, \nabla^W)$  a graded geometric vector bundle, the  $E \otimes W$  is a Clifford module:

- $(E \otimes W)^\pm = E^+ \otimes W^\pm \oplus E^- \otimes W^\mp$
- $c_{E \otimes W}(v) = c_E(v) \otimes \text{id}_W$
- $\nabla^{E \otimes W} = \text{tensor product conn.}$

Thm. Let  $E$  be a Clifford module, and let  $F^E$  be its curvature. Then there is a unique decomposition

$$F^E = R^\Sigma + F^{E/\Sigma},$$

where  $F^{E/\Sigma}(v, w) \in \text{End}_{\mathcal{O}(X)}(E)$ . Explicitly,

$$R^\Sigma(v, w) = \frac{1}{4} \sum_{k, \ell} \langle R(e_k, e_\ell) v, w \rangle c(e_k) c(e_\ell).$$

$F^{E/\Sigma}$  is called twisting curvature of  $E$ .

Notice that in general, we have

$$\text{End}(E) = \mathcal{O}(X) \otimes \text{End}_{\mathcal{O}(X)}(E).$$

Proof. Define  $F^{E/\Sigma} := F^E - R^\Sigma$

$$\begin{aligned} \nabla_V^E \nabla_W^E (c(x)e) &= \nabla_V^E (c(\nabla_W x)e + c(x)\nabla_W^E e) \\ &= c(\nabla_V \nabla_W x)e + c(\nabla_W x)\nabla_V^E e \\ &\quad + c(\nabla_V x)\nabla_W^E e + c(x)\nabla_V^E \nabla_W^E e \end{aligned}$$

$$\begin{aligned} F^E(v,w)c(x)e &= c(R(v,w)x)e \\ &\quad + c(x)F^E(v,w)e \end{aligned}$$

$$\Rightarrow [F^E(v,w), c(x)] = c(R(v,w)x)$$

$$\text{Now } c(R(v,w)x) = [R^\Sigma(v,w), c(x)] \quad \square$$

[ Def.  $X$  is  $\text{spin}^c$  if there exists a Clifford module  $\Sigma^c$  such that  $\mathcal{C}\ell(X) = \text{End}(\Sigma^c)$ , in other words, we have  $\text{End}_{\mathcal{C}\ell(X)}(\Sigma^c) = \mathbb{C} \cdot \text{id}$ .

For  $n$  even, we have

$$\mathcal{C}\ell(\mathbb{R}^n) \cong \text{Mat}_{2^{n/2}}(\mathbb{C})$$

hence locally, we can always set  $\Sigma = \mathbb{C}^{2^{n/2}}$ .

$\Rightarrow$  Being  $\text{spin}^c$  is a topological condition.

Spin manifolds (to be defined later) have the additional property that the twisting curvature  $F^{\Sigma^c/\Sigma^0}$  vanishes (in particular, they are  $\text{spin}^c$ ).

## Examples.

(1) All 4-dim'l, oriented, closed manifolds are  $\text{spin}^c$ .

(2) Let  $(M, g)$  be an almost-complex manifold. Set

$$TX \otimes \mathbb{C} = T^{1,0}X \oplus T^{0,1}X$$

with

$$T^{1,0}X = \{v \otimes 1 - \mathcal{J}v \otimes i \mid v \in TX\}$$

$$T^{0,1}X = \{v \otimes 1 + \mathcal{J}v \otimes i \mid v \in TX\}.$$

Set

$$\Sigma^c := \Lambda_{\mathbb{C}}(T^{1,0}X),$$

with

$$c(v)\omega = \sqrt{2} v \wedge \omega \quad \text{if } v \in T^{1,0}X$$

$$c(v)\omega = \sqrt{2} \bar{v} \lrcorner \omega \quad \text{if } v \in T^{0,1}X.$$

This gives a  $\text{spin}^c$ -structure.

Def. The Dirac operator of a Clifford module  $E$  is given by

$$D^E = \sum_{i=1}^n c(e_i) \nabla_{e_i}^E.$$

This is an elliptic, formally self-adjoint Dirac type operator of first order. It is odd,

$$D \hat{=} \begin{pmatrix} 0 & D^- \\ D^+ & 0 \end{pmatrix}$$

where

$$D^\pm: \Gamma(X, E^\pm) \longrightarrow \Gamma(X, E^\mp)$$

Analytic blackbox:  $D^\pm$  have finite-dimensional kernel, hence we can consider

$$\text{ind}(D^E) = \dim \ker D^+ - \dim \ker D^-.$$



Example.

$$(1) E = \Lambda^{\text{ev}} T^*X \oplus \Lambda^{\text{odd}} T^*X$$

$$\Rightarrow \text{ind } \mathcal{D}^E = \chi(X).$$

$$(2) E = \Lambda^+ T^*X \oplus \Lambda^- T^*X$$

$$\Rightarrow \text{ind } \mathcal{D}^E = \text{sign}(X)$$

The index theorem of Atiyah and Singer gives a formula for these indices. In order to explain it, let  $R$  be the curvature tensor of  $X$ , considered locally as a skew-symmetric matrix of two-forms.

$$\hat{A}(x) := \det^{1/2} \left( \frac{R/2}{\sinh(R/2)} \right)$$

$$= \exp \text{tr} \left( \frac{1}{2} \log \left( \frac{R/2}{\sinh(R/2)} \right) \right).$$

$$\in \Omega^{4*}(X).$$

Moreover, set

$$\text{ch}(E/\Sigma) := 2^{-n/2} \text{Str} \exp(F^{E/\Sigma}).$$

Thm (Atiyah-Singer). We have

$$\text{ind}(D^E) = (2\pi i)^{-n/2} \int_X \hat{A}(X) \wedge \text{ch}(E/\Sigma).$$

In particular, if  $X$  is spin with spinor bundle  $\Sigma$ , then

$$\text{ind}(D^\Sigma) = \int_X \hat{A}(X).$$

Thm (Lichnerowicz-Schrödinger).

We have

$$\begin{aligned} (D^E)^2 &= (\nabla^E)^* \nabla^E + \frac{1}{4} \text{scal} \\ &\quad + \sum_{i < j} F^{E/\Sigma}(e_i, e_j) c(e_i) c(e_j) \end{aligned}$$

Proof. We consider only the special case  $E = \Sigma$

$$(\mathbb{D}^\Sigma)^2 \varphi = \sum_{i,j} c(e_i) \nabla_i^\Sigma c(e_j) \nabla_j^\Sigma f$$

$$= \sum_{i,j} \left( c(e_i) c(\underbrace{\nabla_i^\Sigma e_j}_{=0 \text{ if basis is synchronous at point}}) \nabla_j^\Sigma f \right.$$

$$\left. + c(e_i) c(e_j) \nabla_i^\Sigma \nabla_j^\Sigma f \right)$$

at one point

$$= \sum_{i \neq j} c(e_i) c(e_j) \nabla_i^\Sigma \nabla_j^\Sigma f.$$

$$- \sum_{i=1}^n (\nabla_i^\Sigma)^2 f.$$

$$= \sum_{i < j} c(e_i) c(e_j) \underbrace{R^\Sigma(e_i, e_j)}_{\text{curvature}} f - (\nabla^\Sigma)^* \nabla^\Sigma f$$

$$= \frac{1}{4} \sum_{i,j,k,l} \langle R(e_i, e_j) e_k, e_l \rangle c(e_k) c(e_l) c(e_i) c(e_j)$$

Now use Bianchi-identity etc.  $\square$

It turns out that

$$\int_X \hat{A}(x) \wedge \text{ch}(E/\Sigma)$$

does not depend on the metric or the geometric data on  $E$ .

Corollary. If  $X$  is spin and admits a metric of positive scalar curvature, then

$$\int_X \hat{A}(x) = 0,$$

i.e. the  $\hat{A}$ -genus vanishes.

This is the major obstruction to positive scalar curvature. Conversely,

$$\hat{A}(\mathbb{C}P^2) = -\frac{1}{8} \neq 0,$$

but  $\mathbb{C}P^2$  has p. s. c., hence  $\mathbb{C}P^2$  cannot be spin.